

11/05/01
JC825 U.S. PTO

11-26-01

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Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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09/99272
U.S. PTO
11/05/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390P11617
(maximum 12 characters)

First Named Inventor John I. Garney, et al.

Title: APPARATUS AND METHOD TO ALLOW AND SYNCHRONIZE SCHEDULE CHANGES IN A USB
ENHANCED HOST CONTROLLER

Express Mail Label No. EL 61720 7717 US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant Claims Small Entity Status. (37 CFR 1.27)
3. Specification (Total Pages 20, including cover and abstract)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets 3 sheets of 6 figures)
5. Oath or Declaration (Total Pages 5)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. Application Data Sheet. (37 CFR 1.76)
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**
10. _____ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
_____ b. Power of Attorney
11. _____ English Translation Document (if applicable)
12. _____ a. Information Disclosure Statement (IDS)/PTO-1449
_____ b. Copies of IDS Citations
13. _____ **Preliminary Amendment**
14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. _____ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. Other: 2 checks in the amounts of \$740.00 and \$40.00

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation
Of Prior Application No.: _____ Divisional
Examiner _____ Continuation-in-part (CIP)
(which is a _____ continuation/ _____ divisional/ _____ CIP of prior application no. _____,
which is a _____ continuation/ _____ divisional/ _____ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is
the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an
assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____
(or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)
 Correspondence Address Below

NAME James H. Salter

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8383

Name (PRINT/TYPE): James H. Salter Registration No.: 35,668
Signature: James H. Salter Date: 11/5/01

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PTO/SB/17(11-00)

Approved for use through 10/31/02. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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to a collection of information unless it displays a valid OMB control number**FEE TRANSMITTAL FOR FY 2002****TOTAL AMOUNT OF PAYMENT (\$)** 780.00**Complete if Known:**

Application No. Unassigned
 Filing Date November 5, 2001
 First Named Inventor John I. Garney, et al.
 Group Art Unit Unassigned
 Examiner Name Unassigned
 Attorney Docket No. 42390P11617

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number _____
 Deposit Account Name _____

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, charge any deficiencies, and credit any over payments to Deposit Account Number 02-2666

Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2. Payment Enclosed 3. Applicant claims small entity.
2 checks in the amounts of \$740.00 and \$40.00 See CFR 1.27
Money Order
Other

FEE CALCULATION (fees effective 11/29/00)**1. FILING FEE****Large Entity Small Entity**

Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
101	740	201	370	Utility application filing fee	<u>740.00</u>
106	330	206	165	Design application filing fee	_____
107	510	207	255	Plant filing fee	_____
108	740	208	370	Reissue filing fee	_____
114	160	214	80	Provisional application filing fee	_____

SUBTOTAL (1) \$ 740.00**2. CLAIMS**

Total Claims	18	- 20 =	0	X	0	=	0
Independent Claims	<u>3</u>	<u>- 3 =</u>	<u>0</u>	X	<u>0</u>	=	<u>0</u>
Multiple Dependent Claims			<u>0</u>	X	<u>0</u>	=	<u>0</u>

Large Entity Small Entity

Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
103	18	203	9	Claims in excess of twenty	_____
102	84	202	42	Independent claims in excess of 3	_____
104	280	204	140	Multiple dependent claim	_____
109	84	209	42	Reissue independent claims over original patent	_____
110	18	210	9	Reissue claims in excess of 20 and over original patent	_____

SUBTOTAL (2) \$ 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
105	130	205	65
127	50	227	25
			Surcharge - late filing fee or oath
			Surcharge - late provisional filing fee or cover sheet
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
			Non-English specification
			For filing a request for reexamination
			Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after Examiner action
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
128	1,960	228	980
119	320	219	160
120	320	220	160
121	280	221	140
138	1,510	138	1,510
140	110	240	55
			Notice of Appeal
			Filing a brief in support of an appeal
			Request for oral hearing
			Petition to institute a public use proceeding
			Petition to revive unavoidably abandoned application
141	1,280	241	640
			Petition to revive unintentionally abandoned application
142	1,280	242	640
			Utility issue fee (or reissue)
143	460	243	230
			Design issue fee
144	620	244	310
			Plant issue fee
122	130	122	130
			Petitions to the Commissioner
123	50	123	50
			Petitions related to provisional applications
126	180	126	180
			Submission of Information Disclosure Stmt
581	40	581	40
			Recording each patent assignment per property (times number of properties)
146	740	246	370
149	740	249	370
179	740	279	370
169	900	169	900
195	300	195	300
			For filing a submission after final rejection
			For each additional invention to be examined
			Request for Continued Examination (RCE)
			Request for expedited examination of a design application
			Publication fee for early, voluntary, or normal publication
196	300	196	300
194	130	194	130
098	130	098	130
091	1,280	091	1,280
			Publication fee for republication
			Request for voluntary publication or republication
			Processing fee under 37 CFR 1.17(i) (except provisionals)
			Acceptance of unintentionally delayed claim for priority
			40.00

Other: _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$ **40.00**

SUBMITTED BY:

Typed or Printed Name: James H. Salter

Signature JS Date 11/5/01

Reg. Number 35,668 Customer Number _____

(complete if applicable)

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL 61720 7717 US

Date of Deposit: November 5, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Skliba

Name of Person Mailing Paper or Fee

Barbara Skliba 11/5/01

Signature of Person Mailing Paper or Fee

Date Signed